

8-17-01

A

EXPRESS MAIL CERTIFICATE

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I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

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07278

PATENT TRADEMARK OFFICE

Docket No: 3211/1H348

DARBY & DARBY P.C.

805 Third Avenue
New York, New York 10022
212-527-7700

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Augustine F. UDO; Edward TITTMANN

Title: SYSTEM AND METHOD FOR CREATION OF BACKED DEPOSITARY RECEIPTS

including the items indicated:

1. Specification and 34 claims: 3 indep.; 31 dep.; _ multiple dep.; including 10 page(s) of written description; 5 page(s) of claims; 1 page(s) of abstract.
2. ☒ Drawings, 4 sheets (Figs. 1-4)
3. ☒ Executed Declaration/Power of Attorney
☐ Unexecuted Declaration/Power of Attorney
4. ☐ Application Data Sheet under 37 C.F.R. §1.76.

08/16/01
j0882 U.S. PTO

11046 U.S. PTO
09/931152
08/16/01

03931152-001601

5. ☐ Assignment for recording to:

☐ Assignment was recorded at Reel No. , Frame No. , on .

☐ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee:

6. ☒ The applicant claims small entity status.

7. ☐ Priority is claimed under 35 U.S.C. §119(b) of:

Country:

Number:

Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow
☐ was filed in a previous application.

8. ☒ Priority is claimed under 35 U.S.C. §119(e) of:

Number: 60/225,957

Date: August 17, 2000

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.

10. ☒ Payment in amount of \$481.00, (\$481 filing; \$0 recording)
in the form of
☒ check
☐ deposit account no. 04-0100
☐ credit card (see attached form)
(See attached **Fee Computation Sheet**)

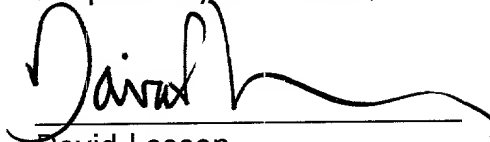
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement
13. ☐ Nucleotide or Amino Acid Sequence Listing.

☐ Hard Copy

☐ CRF

Date: August 16, 2001

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David Leason", written over a horizontal line.

David Leason

Reg. No. 36,195

Attorney for Applicant(s)

3211/1H348-US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	34 - 20	- 0 = 14	14 x \$18.00	\$252.00
Independent Claims	3 - 3	- 0 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL				\$962.00
[X] Small Entity REDUCTION (Half of Subtotal)				\$481.00
Fee for recordation of assignment (\$40.00)				\$0.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$481.00